



#3

DECLARATION FOR PATENT APPLICATION

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled HYDRODYNAMIC SPINDLE MOTOR SEALING TECHNIQUE, the specification of which:

(check one) ☐ is attached hereto.
☒ was filed on January 21, 2000 as
Application Serial No. 09/489,293
and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>60/116,756</u>	<u>January 22, 1999</u>	<u>Abandoned</u>
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)
_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

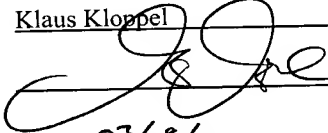
Direct all telephone calls to James A. Sheridan at (415) 781-1989.
Address all correspondence to:

FLEHR HOHBACH TEST
ALBRITTON & HERBERT LLP
Suite 3400, Four Embarcadero Center
San Francisco, California 94111

File No. A-67845/JAS

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor Dirk A. Krieger
Inventor's signature: _____
Date: _____
Residence: Woodside, California
Citizenship: USA
Post Office Address: 740 Whiskey Hill Rd.
Woodside, California 94062

Full name of second inventor Klaus Kloppel
Inventor's signature: 
Date: 03/08/00
Residence: : Watsonville, California
Citizenship: Germany
Post Office Address: 122 Strawberry Rd.
Watsonville, California 95076

Full name of third inventor

Etolí Wolff

Inventor's signature:

[Signature]

Date:

06/01/2000

Residence:

Sunnyvale, California

Citizenship:

Israel

Post Office Address:

~~1575 Tenaka Pl., T-7~~

4776 Raspberry Pl.

~~Sunnyvale, California 94087~~

SAN JOSE, CA 95129

Full name of fourth inventor

✓ Steven N. Paroneault

Inventor's signature:

[Signature]

Date:

3/04/2000

Residence:

Scotts Valley, California

Citizenship:

USA

Post Office Address:

130 Zinfandel

Scotts Valley, California 95066

Full name of fifth inventor

Don J. Macleod

Inventor's signature:

[Signature]

Date:

3/8/00

Residence:

Scotts Valley

~~Santa Cruz~~ California

Citizenship:

UK

Post Office Address:

59 Erba Lane

~~158 Waugh Ave.~~

Scotts Valley 95067

~~Santa Cruz, California 95065~~

DECLARATION FOR PATENT APPLICATION



As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled HYDRODYNAMIC SPINDLE MOTOR SEALING TECHNIQUE, the specification of which:

(check one) ☐ is attached hereto.
☒ was filed on January 21, 2000 as
 Application Serial No. 09/489,293
 and was amended on _____
 (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>60/116,756</u>	<u>January 22, 1999</u>	<u>Abandoned</u>
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)
_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

Direct all telephone calls to James A. Sheridan at (415) 781-1989.
Address all correspondence to:

FLEHR HOHBACH TEST
ALBRITTON & HERBERT LLP
Suite 3400, Four Embarcadero Center
San Francisco, California 94111

File No. A-67845/JAS

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor Dirk A. Krieger

Inventor's signature: _____

Date: _____

Residence: Woodside, California

Citizenship: USA

Post Office Address: 740 Whiskey Hill Rd.
Woodside, California 94062

ASSIGNMENT

WHEREAS, **DIRK A. KRIEGER**, 740 Whiskey Hill Rd., Woodside, California 94062; **KLAUS KLOPP**EL, 122 Strawberry Rd., Watsonville, California 95078; **ETOLI WOLFF**, 1575 Tenaka Pl., T-7, Sunnyvale, California 94087; **STEVEN N. PARSONEAULT**, 130 Zinfandel, Scotts Valley, California 95066; and **DON J. MACLEOD**, 158 Waugh Ave., Santa Cruz, California 95065, has invented certain new and useful improvements in **HYDRODYNAMIC SPINDLE MOTOR SEALING TECHNIQUE** and has executed concurrently herewith a Declaration for a United States patent application on the invention; and

Whereas, **Seagate Technology, Inc.** a corporation of the State of Delaware, having a place of business at **920 Disc Drive, Scotts Valley, CA 95066, State of California**, (hereinafter referred to as assignee) is desirous of acquiring the entire right, title, and interest in and to said invention, said application and the Letters Patent to be obtained therefor;

Now, therefore, for and in consideration of One Dollar and other good and valuable considerations, to me in hand paid, the receipt and sufficiency whereof are hereby acknowledged, I have sold, assigned, and set over and by these presents do hereby sell, assign, and set over unto the said **Seagate Technology, Inc.** and said assignee's legal representatives, successors and assigns, the entire right, title, and interest in and to said invention, said application, and the Letters Patent, both foreign and domestic, that may or shall issue thereon; and I do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the above-mentioned assignee agreeably with the terms of this assignment.

I hereby authorize the above-mentioned assignee or its legal representative to insert in this instrument the filing date and serial number of our said application or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Upon said consideration, I convey to said assignee the right to make application in its own behalf for protection of said invention in countries foreign to the United States and where expedient to claim under the International Convention or other international arrangement for any such application the date of the said United States application (or other application if any there be) in priority to other applications; and I do hereby covenant and agree with the said assignee that I will not execute any writing or do any act whatsoever conflicting with these presents, and that we will at any time upon request, without further or additional consideration, but at the expense of the said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional renewal, reissued or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or chose in action accruing as a result of such application or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of both parties.

IN WITNESS WHEREOF, the said Inventor has executed and delivered this instrument to said Assignee on the date set forth below.

Dated: _____

DIRK A. KRIEGER

State of _____ }

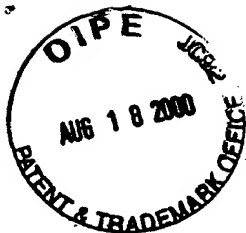
County of _____ }

On this ____ day of _____, in the year _____, before me, _____, Notary Public of the State of California in the County of Santa Clara, personally appeared **DIRK A. KRIEGER**, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature _____

(Seal)



POWER OF ATTORNEY BY ASSIGNEE
AND EXCLUSION OF INVENTOR/S UNDER 37 C.F.R. §1.32
AND STATEMENT UNDER 37 C.F.R. § 3.73(b)

Shopp
4-2-01
5/Pomer
Attorney

To the Assistant Commissioner for Patents:

The undersigned assignee of the entire interest in application for letters patent entitled:
HYDRODYNAMIC SPINDLE MOTOR SEALING TECHNIQUE filed on January 21, 2000
as Application Serial No. 09/489,293 and having the named inventors:

**DIRK A. KRIEGER, KLAUS KLOPPPEL, ETOLI WOLFF, STEVEN N.
PARSONEAULT and DON J. MACLEOD**

hereby appoints the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith; said appointment to be to the exclusion of the inventor(s) and his (their) attorney(s) in accordance with the provisions of 37 C.F.R. 1.32: Harold C. Hohbach, Reg. No. 17,757; Aldo J. Test, Reg. No. 18,048; David J. Brezner, Reg. No. 24,774; James A. Sheridan, Reg. No. 25,435; Robert B. Chickering, Reg. No. 24,286; Richard F. Trecartin, Reg. No. 31,801; Steven F. Caserza, Reg. No. 29,780; Laura L. Kulhanjian, Reg. No. 33,257; Michael A. Kaufman, Reg. No. 32,988; Janet E. Muller, Reg. No. 35,294; Edward N. Bachand, Reg. No. 37,085; R. Michael Ananian, Reg. No. 35,050; Stephen M. Knauer, Reg. No. 38,208; Robin M. Silva, Reg. No. 38,304; David C. Ashby, Reg. No. 36,432; Maria S. Swiatek, Reg. No. 37,244; David P. Campbell, Reg. No. 35,838; Brian G. Hart, Reg. No. 44,421; Steven M. Freeland, Reg. No. 42,555; and Joseph Lutz, Reg. No. 43,765; provided that if any one of said attorneys ceases being affiliated with the law firm of Flehr Hohbach Test Albritton & Herbert LLP as partner, employee or of counsel, such attorney's appointment as attorney and all powers derived therefrom shall terminate on the date such attorney ceases being so affiliated; and additionally Edward P. Heller, III, Reg. No. 29,075; Raghunath S. Minisandram, Reg. No. 38,683; Mark A. Wardas, Reg. No. 37,961 and Shawn B. Dempster, Reg. No. 34,321 of Seagate Technology, Inc.

Direct all telephone calls to James A. Sheridan at (650) 494-8700. Address all correspondence to:

FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP
Suite 3400, Four Embarcadero Center
San Francisco, California 94111-4187

Seagate Technology, Inc., a corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventor of the patent application identified above. A copy of the executed, unrecorded assignment is attached hereto. The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

SEAGATE TECHNOLOGY, INC.

By:

Edward P. Heller, III
Edward P. Heller, III
Patent Counsel
920 Disc Drive
Scotts Valley, California 95066

Date: June 6, 2000

1004799
A-67845/JAS